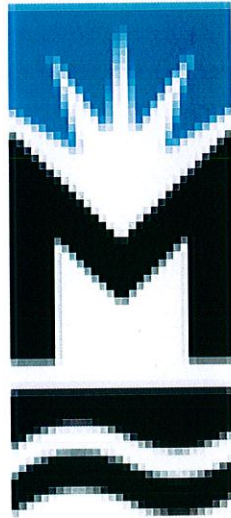


**PROGRAM YEAR 2013
CDBG ANNUAL ACTION PLAN
B-13-MC-39-0013**



City of Middletown, Ohio
Community Development Block Grant Program
Judith A. Gilleland, City Manager
Doug Adkins, Community Revitalization Director
Kyle Fuchs, HUD Program Administrator

March 2013

PROGRAM YEAR 2013
HUD ANNUAL ACTION PLAN
B – 13 – MC – 39 -0013

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PROGRAM YEAR 2013
HUD ANNUAL ACTION PLAN
B-13-MC-39-0013

Section One - Standard Form 424




Fourth Program Year Action Plan (PY 2013)

B – 13 – MC – 39 - 0013

SF 424

03/15/2013	Applicant Identifier		Type of Submission	
Date Received by state	State Identifier		Application	Pre-application
Date Received by HUD	Federal Identifier		<input type="checkbox"/> Construction	<input type="checkbox"/> Construction
			<input checked="" type="checkbox"/> Non Construction	<input type="checkbox"/> Non Construction
Applicant Information				
Middletown		OH393222 MIDDLETOWN		
1 Donham Plaza		030949903		
		Municipal Government		
Middletown	Ohio	Community Revitalization Department		
45042	U.S.A.	Community Development Division		
Employer Identification Number (EIN):		Butler/Warren		
31-6000139		05/01/2013		
Applicant Type:		Specify Other Type if necessary:		
Error! Not a valid link.City		Error! Not a valid link.		
Program Funding		U.S. Department of Housing and Urban Development		
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding				
Community Development Block Grant		14.218 Entitlement Grant		
Community Revitalization Plan		Citywide		
\$655,588	Approx \$500,000	NSP (1 & 3) and HOME		
Approximately \$300,000 in NSP (1 & 3) funds will be spent along with downpayment assistance through HOME funds.		\$Additional State Funds Leveraged		
\$1,000,000 (Moving Ohio Forward)		\$Grantee Funds Leveraged		
\$2,000 Program Income (Estimated)		\$450,000 Estimated Unliquidated Prior Year Funds		
\$500,000				
Home Investment Partnerships Program		14.239 HOME		
HOME Project Titles		Description of Areas Affected by HOME Project(s)		
\$HOME Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe		
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged		
\$Locally Leveraged Funds		\$Grantee Funds Leveraged		

\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOME-based Project(s)			
Housing Opportunities for People with AIDS		14.241 HOPWA	
HOPWA Project Titles		Description of Areas Affected by HOPWA Project(s)	
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for HOPWA-based Project(s)			
Emergency Shelter Grants Program		14.231 ESG	
ESG Project Titles		Description of Areas Affected by ESG Project(s)	
\$ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe	
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged	
\$Locally Leveraged Funds		\$Grantee Funds Leveraged	
\$Anticipated Program Income		Other (Describe)	
Total Funds Leveraged for ESG-based Project(s)			
Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
8 th	8th		
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		<input type="checkbox"/> Yes	This application was made available to the state EO 12372 process for review on DATE
		<input checked="" type="checkbox"/> No	Program is not covered by EO 12372
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Doug		Adkins
Director – Community Revitalization	(513)425-1856	(513)425-7921
douga@cityofmiddletown.org	www.cityofmiddletown.org	Judith A. Gilleland, City Manager
Signature of Authorized Representative		Date Signed
		3-11-13

PROGRAM YEAR 2013
HUD ANNUAL ACTION PLAN
B-13-MC-39-0013

Section Two - Narrative Responses



Fourth Program Year Action Plan

(FY 2013) – B-13-MC-39-0013 Narrative Responses

GENERAL

Executive Summary

The Executive Summary is required. Include the objectives and outcomes identified in the plan and an evaluation of past performance.

Program Year 4 Action Plan Executive Summary:

The City of Middletown continued to keep code enforcement a priority in PY2012. In addition to finalizing rehab of vacant foreclosed homes and continued demolition of blighted abandoned housing through NSP, the City utilized CDBG funding for major residential rehabilitation on a property with the help of local church volunteers through our VIP project. The City utilized \$100,000 in CDBG funds for street paving completed internally. We also continued subrecipient funding through People Working Cooperatively for emergency repairs for low income households. The balance of the funding was provided to support other local non-profits to provide legal and fair housing services needed in our community. The City accomplished the following in calendar year 2012:

- Served 61 low income households with emergency repairs through the non-profit, People Working Cooperatively.*
- Served 14 households with fair housing complaints and investigations through the non-profit, Housing Opportunities Made Equal (H.O.M.E.).*
- Served 10 households with legal issues involving tenant/landlord disputes, foreclosures, and fair housing complaints.*
- Completed 8,408 code enforcement inspections of which 2,967 were resolved voluntarily and 2,367 were resolved contractually.*
- Completed extensive exterior residential rehabilitation through the V.I.P. project.*
- Completed street paving using City staff to pave 10 streets totaling approximately 10,780 lineal feet*

In addition, the City finalized rehabilitation on the last NSP acquired property of our original obligation and it is currently listed for sale. We are continuing to acquire properties to rehabilitate through NSP1 and NSP3 while keeping a heavy focus on demolition of blighted vacant abandoned housing throughout the City.

In program year 2013, the City of Middletown will creatively utilize all available funding including CDBG, NSP, and HOME in a results oriented approach. In addition, a large focus will be given to the Moving Ohio Forward grant which will be utilized to demolish vacant and blighted residential housing in the City. Those funds must be spent by the end of calendar year 2013. Like the current 2012 program year, a significant portion of CDBG funding will be used for proactive code enforcement. We are also budgeting a large portion to street paving to be completed by City staff. In addition, we are converting \$450,000 from our revolving loan fund to be utilized for street paving and the purchase of a much needed new fire engine. Code enforcement staff will conduct a minimum of 4,000 inspections. The City is budgeting \$50,000 of PY2013 CDBG funds for emergency home repairs. It will assist an estimated 30-50 low-income homeowners and be administered through a local non-profit called People Working Cooperatively. The City will continue to operate our V.I.P project and Group Workcamps allocating \$54,000 in CDBG funds to the activity. In addition, the City is committed to affirmatively furthering fair housing and is budgeting CDBG funds for fair housing services through Housing Opportunities Made Equal (fair housing testing and assistance - \$5,000 budgeted – estimated 15 people served) and Legal Aid of Greater Cincinnati (legal representation for low-moderate income households with fair housing issues, foreclosure prevention, and tenant/landlord disputes - \$10,000 budgeted – estimated 10 people served).

Along with this 2013 Action Plan, the City is simultaneously completing a substantial amendment to the 2010-2014 Consolidated Plan which will convert part of the revolving loan fund to be used for street paving and the purchase of a fire engine.

General Questions

1. Describe the geographic areas of the jurisdiction (including areas of low income families and/or racial/minority concentration) in which assistance will be directed during the next year. Where appropriate, the jurisdiction should estimate the percentage of funds the jurisdiction plans to dedicate to target areas.
2. Describe the basis for allocating investments geographically within the jurisdiction (or within the EMSA for HOPWA) (91.215(a)(1)) during the next year and the rationale for assigning the priorities.
3. Describe actions that will take place during the next year to address obstacles to meeting underserved needs.
4. Identify the federal, state and local resources to be made available to address the needs identified in the plan. Federal resources should include Section 8 funds made available to the jurisdiction, Low-Income Housing Tax Credits, and competitive McKinney-Vento Homeless Assistance Act funds expected to be available to address priority needs and specific objectives identified in the strategic plan.

Program Year 4 Action Plan General Questions response:

As noted in the Strategic Plan, based on the latest U.S. Census data and Comprehensive Housing Affordability Strategy (CHAS) information, the City of Middletown qualifies as a low-to moderate-income area on a citywide basis. The areas of racial concentration are primarily on the west and south sides of town. The City is made up of 38 individual neighborhoods (see attached map). Identified in the Consolidated Plan are the City's target neighborhoods. Each year of this 5 year consolidated plan will focus the majority of all activities to 1 to 2 of the target neighborhoods most in need of revitalization. Selection is based on the data listed in the neighborhood strategy section of the Consolidated Plan and

with consultation and input from the Consolidated Planning Committee. Work will continue in these target neighborhood(s) until all available funds have been depleted or until statistics show the area has become self-sustaining. For the PY 2013 action plan, the City will continue to focus on the Douglass and Harlan Park neighborhoods and focus on the Meadowlawn and Prospect neighborhoods through Group Workcamps. The decision as to which targeted neighborhood(s) will be the revitalized is based on the overall rank score as specified on page 53 of the Consolidated Plan as well as what areas certain activities can feasibly be funded and/or leveraged with additional funding outside CDBG grants.

Also, as noted in the Strategic Plan, the major obstacle to meeting underserved needs is lack of resources. To help overcome this, the goal is to leverage non-federal funds to CDBG funds 2 for 1. Due to the limited funds available, leveraging resources is critical to achieving our goal. During Program Year 2013, the City of Middletown intends to leverage roughly 2.7 million from the Moving Ohio Forward grant. Those funds are used strictly for residential demolition which in essence, frees up CDBG funding to be utilized towards other projects. As a result, we are able to purchase a new fire engine and pave more streets.

Federal funds to be utilized in PY 2013 include CDBG, HOME, NSP1, NSP3, and the Housing Choice Voucher Program.

Managing the Process

1. Identify the lead agency, entity, and agencies responsible for administering programs covered by the consolidated plan.
2. Identify the significant aspects of the process by which the plan was developed, and the agencies, groups, organizations, and others who participated in the process.
3. Describe actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies.

Program Year 4 Action Plan Managing the Process response:

The City of Middletown, Community Revitalization Department is the lead entity responsible for administering the programs covered by the Consolidated Plan. Partners in this process include organizations such as People Working Cooperatively, the Middletown Area Community Coalition, Legal Aid Society of Southwest Ohio, LLC, Housing Opportunities Made Equal (H.O.M.E.), S.E.L.F. (with Group Workcamps), and Neighborhood Housing Services of Hamilton, Inc.

The process of drafting the Year 4 Annual Action Plan was developed by working with the Consolidated Planning Committee and through consultations with the general public, as described in the Consolidated Plan.

It is the City of Middletown's intent that during the next year, coordination between public and private housing and social service agencies will be enhanced by inviting private businesses to invest funds in the same neighborhoods that are to be revitalized with CDBG, HOME, NSP, and Moving Ohio Forward funds. We plan on community participation to achieve this goal by partnering with citizens, landscapers, contractors, churches, and non-profits to invest private dollars and time to help improve these target neighborhoods.

Citizen Participation

1. Provide a summary of the citizen participation process.
2. Provide a summary of citizen comments or views on the plan.
3. Provide a summary of efforts made to broaden public participation in the development of the consolidated plan, including outreach to minorities and non-English speaking persons, as well as persons with disabilities.
4. Provide a written explanation of comments not accepted and the reasons why these comments were not accepted.

Program Year 4 Action Plan Citizen Participation response:

The Middletown Consolidated Planning Committee, a diverse group of community representatives, was delivered this program year's budget on January 22, 2013 so any input or comments could be discussed. No changes were recommended. Members of the Consolidated Planning Committee included representatives from: the Butler County Homeless Coalition, Neighborhood Housing Services of Hamilton, Inc., the City's Planning Director, the Middletown Police Department, 3 members of City Council, 2 members of the Community Revitalization staff, and a citizen and volunteer from "Keep Middletown Beautiful". The City of Middletown's Citizen Participation Plan is attached.

It is a good practice to consult with local housing agencies when preparing the Annual Action Plan. However, the Middletown Public Housing Agency (MPHA) is operated by the City of Middletown. Both the Community Development department (which prepares the CDBG annual Action Plan) and MPHA report to the City's Director of Community Revitalization. Middletown City Council also operates as the Board of MPHA and approves both MPHA's Agency Plan and the City's CDBG Annual Action Plan. MPHA is aware of the City's Consolidated Plan goals and strategies and each year's Action Plan priorities. While we have a strong working relationship with Butler Metropolitan Housing Authority, BMHA operates its Section 8 program outside of the City of Middletown and only operates a few public housing projects within the City's border. BMHA is aware of our program and goals and was forwarded our plan for any comments. BMHA had no comments on this year's Action Plan or Substantial Amendment.

The City takes every feasible effort to broaden public participation and reach out to minorities, non-English speaking persons, and individuals with disabilities. Please see the citizen participation plan which includes a televised public hearing and copies of the proposed plan placed at the public library, the senior citizen center, at the Community Revitalization Department in the City building, and on the City's web page. The plan was available for input in person, at local community outlets and online to reach the broadest possible audience. Copies were available to take for later reading and comment. No comments were received.

In addition to the Consolidated Planning Committee, the Middletown City Council held a public hearing to obtain citizen views and respond to citizen proposals:

Public Hearing: February 19, 2013	Published in Middletown Journal Paper: February 4th and 6th, 2013 via legal ad and on February 7th 2013 via regular ad	City Council Chambers, televised
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*The **30-day Public Comment Period** ran from **February 8th, 2013 through March 10th, 2013**. The City of Middletown will consider any comments or views of citizens received in writing, or orally at the public hearings, in preparing the final consolidated plan.*

Comments: None received

Institutional Structure

1. Describe actions that will take place during the next year to develop institutional structure.

Program Year 4 Action Plan Institutional Structure response:

The City of Middletown plans to undertake the following actions during the next year to continue to develop an improved institutional structure:

- 1) Continue and enhance private sector involvement.*
- 2) Continue as an active member in the Butler County Housing and Homeless Coalition.*
- 3) Continue regional meetings with adjacent jurisdictions to discuss community needs.*
- 4) Continue cooperation with local funding agencies, such as the United Way and the Middletown Community Foundation, to assist wherever possible.*
- 5) Continue as an active member of the Butler County Homeowner Preservation Group, seeking resources to keep homeowners in their home and reduce foreclosures.*
- 6) Continue active participation in the county land bank to focus on putting vacant properties back into productive use.*

Monitoring

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

Program Year 4 Action Plan Monitoring response:

The City of Middletown, Community Revitalization Department, will take the key role for implementation and monitoring its housing and community development projects to ensure long-term compliance with program requirements and comprehensive planning requirements. Community Revitalization staff will conduct monitoring visits with all sub-recipients as needed as well as desk reviews, and financial and performance monitoring on a quarterly basis.

Monitoring of all City operated projects will be done through desk reviews, periodic reviews of the Action Plan and Consolidated Plan, reviews of funding applications, and through compliance with all applicable federal regulations.

Each housing program funded by the City of Middletown will be reviewed for compliance with federal regulations such as Section 504/Handicapped Accessibility, Lead Based Paint requirements, Housing Quality Standards (IPMC), Davis Bacon, Section 3, and other federal requirements.

Lead-based Paint

1. Describe the actions that will take place during the next year to evaluate and reduce the number of housing units containing lead-based paint hazards in order to increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families.

Program Year 4 Action Plan Lead-based Paint response:

Actions taken to evaluate and reduce lead based paint are incorporated into our housing rehabilitation programs. Most all of our programs in PY2013 do not involve residential rehabilitation with the exception of V.I.P. The few properties that do receive any rehabilitation will have all lead-based paint addressed per the lead safe housing rule. All properties receiving down payment assistance through HOME will have a visual inspection performed prior to closing to address any peeling paint.

Inspect homes for housing code violations *When reviewing a rehabilitation candidate or down payment application, homes will be inspected for housing code violations with the age of the housing noted for possible lead hazards.*

Lead risk assessor identifies lead-based paint hazards *Prior to any rehabilitation work, a licensed Lead Risk Assessor will perform a risk assessment to determine any lead hazards and areas of concern which could be damaged during rehabilitation. A copy of this assessment is documented in the file.*

Estimate the cost of work to be done *The project specifications and cost estimate include the standard construction work on the project and any lead renovation/abatement work to be performed. A licensed lead abatement contractor will be utilized, when required, to assist the contractors in selecting the best abatement methods.*

Clearance *A clearance test is performed on any project that will disturb lead based paint surfaces. Contractors performing this work must be a certified lead safe renovator. Final project payout is not released until the site passes the clearance test(s). This allows the city to control costs on a project as well as provide the clearance in a timely manner.*

Middletown Public Housing Agency will also conduct Lead Based Paint inspections and clearance when required as part of the HQS inspection process through the City's Section 8 Housing Choice Voucher Program.

HOUSING

Specific Housing Objectives

Describe the priorities and specific objectives the jurisdiction hopes to achieve during the next year.

1. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 4 Action Plan Specific Objectives response:

Priorities that the City of Middletown hopes to achieve during the next year include:

- Residential demolition is a huge priority in 2013 due to the Moving Ohio Forward Grant that must be spent by the end of the calendar year. The City will demolish 300+ vacant/blighted residential properties.
- Acquisition and rehabilitation of additional foreclosed properties through NSP & NSP3 to meet the 25% program income requirement.
- Emergency repair services to at least 30 owner occupied homes
- Assist Group Workcamps in providing minor rehabilitation assistance to at least 50 homeowners
- Use HOME funds to provide down payment assistance to 30 low and moderate income first-time homebuyers
- Utilize housing code enforcement efforts to maintain and enforce code compliance.

The City has earmarked a share of federal (CDBG, HOME, NSP, and NSP3) funds to assist these projects and private investment will be sought where possible for construction projects. In addition, state funds from the Moving Ohio Forward grant will play a huge role in 2013 to remove much of the vacant/blighted housing in Middletown.

Needs of Public Housing

1. Describe the manner in which the plan of the jurisdiction will help address the needs of public housing and activities it will undertake during the next year to encourage public housing residents to become more involved in management and participate in homeownership.
2. If the public housing agency is designated as "troubled" by HUD or otherwise is performing poorly, the jurisdiction shall describe the manner in which it will provide financial or other assistance in improving its operations to remove such designation during the next year.

Program Year 4 Action Plan Public Housing Strategy response:

The City of Middletown along with Consortium partner Butler County will continue to offer technical assistance to Butler Metropolitan Housing Authority (BMHA).

The City of Middletown formed the Middletown Public Housing Agency in the 1970's to administer its Housing Choice Voucher Program. The Board is made up of the six City Council members and the Mayor and meets monthly to discuss public housing issues separate from the Community Development Division of the Community Revitalization Department. The Agency does not own any housing projects but utilizes housing choice vouchers. MPHA operates the following programs to address the needs of public housing:

1. *Mainstream Housing Opportunities for Persons with Disabilities Program – HUD provides financial housing assistance (Housing Assistance Payment) for adults with disabilities.*
2. *Family Unification Program – work with Butler County Children's Service to provide housing assistance for families whom they establish as needing housing in order to have their children returned to their household*
3. *Housing Assistance for Non-Elderly Persons with Disabilities – HUD provides financial housing assistance subsidy (HAP) for non-elderly persons with disabilities.*
4. *Family Self Sufficiency Program – Middletown Public Housing Agency's FSS Coordinators counsel with families to connect them with support services and resources in the community to help the families move toward economic self-*

sufficiency. These support services include referral to technical training, encouraging employment, achieving GED, attending college, etc. and supporting them with their efforts through counseling.

5. *Escrow Account – When a family participating in the Family Self Sufficiency Program earns additional income, the family will pay a larger portion of the rent. The difference between what the person was paying before the increase in income and what he pays after the increase is matched with HUD funds and placed in an escrow account in a local bank. Once the family reaches the goal of self-sufficiency and graduates from the program, the family receives all of the escrow.*
6. *Homeownership Program – Enable Housing Choice Voucher Program Participants to become homeowners. Although the program is quite entailed, the end result is that the Housing Assistance Payment (HAP) is used to pay the family's mortgage. The program must include:*
 - *Budget and money maintenance, credit counseling*
 - *Knowing the players and their roles in the home buying process*
 - *How to negotiate purchase price*
 - *Preparation for loan qualification and application*
 - *How to obtain homeownership financing*
 - *How to find a home*
 - *Advantages of purchasing a home in a more diverse neighborhood*
 - *Maintaining a home, avoiding delinquencies*
 - *Defaults and foreclosures*

A minimum of 8 hours of homebuyer education must be completed before an applicant may use their voucher to purchase a home. Some families may require more extensive home education or financial fitness classes before they are determined ready to purchase a home.

7. *Single Room Occupancy – These 11 units are Project Based, meaning the subsidy is attached to the unit, rather than the individual. The units are located in Hope House Rescue Mission.*

Barriers to Affordable Housing

1. Describe the actions that will take place during the next year to remove barriers to affordable housing.

Program Year 4 Action Plan Barriers to Affordable Housing response:

As documented in the Consolidated Plan, the City of Middletown has a housing stock that is old and valued significantly lower than surrounding areas. The biggest barrier surrounding many of these properties is their overall condition. During Program Year 2013, the City of Middletown will strive to improve its affordable housing stock by:

- 1) *Maintaining code enforcement efforts so that all occupied property is safe.*
- 2) *Enhancing the oversight of Housing Choice Voucher Program to serve low income households.*
- 3) *Strictly enforce HQS inspection requirements and housing quality standards under Section 8*
- 4) *Demolishing 300+ vacant blighted properties.*
- 5) *Providing down payment/closing cost assistance to encourage home ownership.*

6) *Subsidizing the sale price of NSP properties to an affordable level.*

HOME

1. Describe other forms of investment not described in § 92.205(b).
2. If the participating jurisdiction (PJ) will use HOME funds for homebuyers, it must state the guidelines for resale or recapture, as required in § 92.254 of the HOME rule.
3. If the PJ will use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, it must state its refinancing guidelines required under § 92.206(b). The guidelines shall describe the conditions under which the PJ will refinance existing debt. At a minimum these guidelines must:
 - a. Demonstrate that rehabilitation is the primary eligible activity and ensure that this requirement is met by establishing a minimum level of rehabilitation per unit or a required ratio between rehabilitation and refinancing.
 - b. Require a review of management practices to demonstrate that disinvestments in the property has not occurred; that the long-term needs of the project can be met; and that the feasibility of serving the targeted population over an extended affordability period can be demonstrated.
 - c. State whether the new investment is being made to maintain current affordable units, create additional affordable units, or both.
 - d. Specify the required period of affordability, whether it is the minimum 15 years or longer.
 - e. Specify whether the investment of HOME funds may be jurisdiction-wide or limited to a specific geographic area, such as a neighborhood identified in a neighborhood revitalization strategy under 24 CFR 91.215(e)(2) or a Federally designated Empowerment Zone or Enterprise Community.
 - f. State that HOME funds cannot be used to refinance multifamily loans made or insured by any federal program, including CDBG.

Program Year 4 Action Plan HOME:

The City of Middletown is a Participating Jurisdiction (PJ) through a Consortium with Butler County. Butler County, as the lead agency is responsible for completing the HOME section on our behalf.

HOMELESS

Specific Homeless Prevention Elements

1. Sources of Funds—Identify the private and public resources that the jurisdiction expects to receive during the next year to address homeless needs and to prevent homelessness. These include the McKinney-Vento Homeless Assistance Act programs, other special federal, state and local and private funds targeted to homeless individuals and families with children, especially the chronically homeless, the HUD formula programs, and any publicly-owned land or property. Please describe, briefly, the jurisdiction's plan for the investment and use of funds directed toward homelessness. Homelessness—In a narrative, describe how the action plan will address the specific

objectives of the Strategic Plan and, ultimately, the priority needs identified. Please also identify potential obstacles to completing these action steps.

2. Chronic homelessness—The jurisdiction must describe the specific planned action steps it will take over the next year aimed at eliminating chronic homelessness by 2012. Again, please identify barriers to achieving this.
3. Homelessness Prevention—The jurisdiction must describe its planned action steps over the next year to address the individual and families with children at imminent risk of becoming homeless.
4. Discharge Coordination Policy—Explain planned activities to implement a cohesive, community-wide Discharge Coordination Policy, and how, in the coming year, the community will move toward such a policy.

Program Year 4 Action Plan Special Needs response:

It is expected that one agency in the Butler County Housing and Homeless Coalition will apply for and receive funding on behalf of the coalition through the Balance of State Continuum of Care process.

Homelessness: *Objectives identified in the Middletown strategic plan are to review the proper level of section 8 vouchers to assist low income or homeless individuals and families in securing safe and affordable housing and coordinate with local and regional governments and service agencies to provide technical assistance for homeless service providers. This is accomplished county-wide through the coalition. The Butler County Housing and Homeless Coalition is a wide ranging group of municipalities and non-profit providers working in coordination on homelessness. The members include the City of Hamilton, the City of Middletown, Butler County, Butler County Children's Services Board, Butler Metropolitan Housing Authority, Butler Behavioral Health-Workplace Associates, Legal Aid, Lifespan, Neighborhood Housing Services, Inc., S.E.L.F., Sojourner Recovery Services, Transitional Living, Faith Works, Inc., Hope House, Mercy Franciscan at St. Raphael, Serve City, the YWCA, the VA, and Butler County Success. This group meets bi-monthly and provides multiple services to area homeless including not only shelter, but transportation, employment, mental health, and substance abuse services. The VA has become more active reaching out to veterans and matching needs with services. These specific objectives were designed to meet the overall goal of providing the best assistance for the homeless and those at risk of homelessness. The major obstacles to completing these steps are funding.*

Chronic Homelessness: *Butler County, including Middletown, have consistently low numbers of unsheltered individuals and families documented in the point in time counts. The City of Middletown, in conjunction with regional partners in the Butler County Housing and Homeless Coalition will continue to provide outreach and appropriate social services to assist the homeless. The Butler County Housing and Homeless Coalition administers 20 Shelter Plus Care vouchers to get chronically homeless individuals housed. Butler County administers 20 more Shelter Plus vouchers to assist Butler County chronic homeless.*

Homeless Prevention: *Services offered to residents of Middletown and Butler County include the Emergency Money Fund administered through St. Raphael. There are also funds available for emergency rent and utility payment through SELF, the local Community Action Program (CAP) agency. Additionally, Butler Metropolitan Housing Authority (BMHA) has a working relationship with Transitional Living who provides mental health assessment and permanent housing placement services.*

Discharge Coordination Policy: *Activities planned to implement a community-wide discharge policy begin with the Butler County Housing and Homeless Coalition. Homeless providers serving not just Middletown, but the entire county meet monthly and are developing a community-wide policy.*

Emergency Shelter Grants (ESG)

(States only) Describe the process for awarding grants to State recipients, and a description of how the allocation will be made available to units of local government.

Program Year 4 Action Plan ESG response:

n/a

COMMUNITY DEVELOPMENT

Community Development

Identify the jurisdiction's priority non-housing community development needs eligible for assistance by CDBG eligibility category specified in the Community Development Needs Table (formerly Table 2B), public facilities, public improvements, public services and economic development.

1. Identify specific long-term and short-term community development objectives (including economic development activities that create jobs), developed in accordance with the statutory goals described in section 24 CFR 91.1 and the primary objective of the CDBG program to provide decent housing and a suitable living environment and expand economic opportunities, principally for low- and moderate-income persons.

**Note: Each specific objective developed to address a priority need, must be identified by number and contain proposed accomplishments, the time period (i.e., one, two, three, or more years), and annual program year numeric goals the jurisdiction hopes to achieve in quantitative terms, or in other measurable terms as identified and defined by the jurisdiction.*

Program Year 4 Action Plan Community Development response:

(Goal numbers are as of the end of the calendar year 2012)

Priority Need	Priority Need Level	5 Yr Goal Plan/Actual	Annual Goal Plan/Act	Percent 5 Yr Goal Completed
Acquisition of Real Property (NSP & NSP3)	Medium	6/6+	4/4	100
Disposition	Medium	3	0	0
Clearance and Demolition	High	40/22	30	55
Clearance of Contaminated Sites	Low	0	0	0
Code Enforcement (Number of Inspections)	High	8000/8000+	2000/8408	100
Public Facility (General) - TOTAL	Medium	27/27+	15	100
Senior Centers	Low	0	1	0
Handicapped Centers	Low	0	0	0
Homeless Facilities	Medium	1	0	100
Youth Centers	Medium	1	0	0
Neighborhood Facilities	Medium	1	1	0
Child Care Centers	Medium	1	0	0

Health Facilities	Low	0	0	0
Mental Health Facilities	Low	0	0	0
Parks and/or Recreation Facilities	Medium	2/2	0	100
Parking Facilities	Low	0	0	0
Tree Planting	Medium	50	0	0
Fire Stations/Equipment	Low	0	0	0
Abused/Neglected Children Facilities	Medium	1	0	0
Asbestos Removal (housing units)*	Medium	20/31*	300	100
Non-Residential Historic Preservation	Medium	0	0	0
Other Public Facility Needs	Medium	0	0	0
Infrastructure (General) – TOTAL	Medium	1500	1000	100
Water/Sewer Improvements	Low	0	0	0
Street Improvements (lineal feet per lane)	High	1000/10000+	1000	100
Sidewalks (lineal feet)	Medium	500	0	0
Solid Waste Disposal Improvements	Low	0	0	0
Flood Drainage Improvements (lineal feet)	Low	0	0	0
Other Infrastructure	Medium	0	0	0
Public Services (General) - TOTAL	Medium	410/133	20	32
Senior Services	Low	0	0	0
Handicapped Services	Low	0	0	0
Legal Services – people served	Medium	200/51	15/10	26
Youth Services – people served	Medium	50	0	0
Child Care Services – people served	Medium	10	0	0
Transportation Services	Low	0	0	0
Substance Abuse Services – people served	Medium	10	0	0
Employment/Training Services – people served	Medium	10	0	0
Health Services	Low	0	0	0
Lead Hazard Screening – people served	Medium	5	0	0
Crime Awareness – people served	Medium	50	0	0
Fair Housing Activities – people served	High	75/72	15/14	96
Tenant Landlord Counseling – people served**	Medium	5/13	10/10	100
Other Services	Medium	0	0	0
Economic Development (General) - TOTAL	Medium	16	0	0
C/I Land Acquisition/Disposition	Medium	5	0	0
C/I Infrastructure Development	Low	0	0	0
C/I Building Acq/Const/Rehab	Medium	3	0	0
Other C/I	Medium	0	0	0
ED Assistance to For-Profit	Low	0	0	0
ED Technical Assistance	Medium	3	0	0
Micro-enterprise Assistance	Medium	3	0	0
Other	Medium	0	0	0

*Asbestos removal goals include abatement due to rehabilitation and/or demolition

**Counseling performed by subrecipient H.O.M.E. and is education outreach to the public and/or the Board of Realtors

Antipoverty Strategy

1. Describe the actions that will take place during the next year to reduce the number of poverty level families.

Program Year 4 Action Plan Antipoverty Strategy response:

Over the next year the City of Middletown will attempt to reduce the number of poverty level families through the following methods:

- 1) Stabilizing the housing base through code enforcement, demolition, emergency housing repair and homeownership assistance programs. The City realizes that without stable housing, it is difficult to focus on educational attainment and employment goals.*
- 2) The Economic Development Department will continue to focus on creating and maintaining quality jobs that will enable individuals to rise from poverty to self sufficiency.*
- 3) Provide social, educational, and networking activities through the Community Center.*

NON-HOMELESS SPECIAL NEEDS HOUSING

Non-homeless Special Needs (91.220 (c) and (e))

Describe the priorities and specific objectives the jurisdiction hopes to achieve for the period covered by the Action Plan.

1. Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by this Action Plan.

Program Year 4 Action Plan Specific Objectives response:

Non-homeless special needs such as senior services, mental services, services for persons with HIV/AIDS, and Alcohol and Drug treatment are all extremely important to the City of Middletown. However, due to extremely limited resources, the City of Middletown has chosen to focus primarily on neighborhood revitalization.

The Middletown Area Community Coalition – an organization funded as a collaboration between the City, the Community Foundation, and the United Way- will assist many of these service providers in identifying and securing state, federal and private sector funding for services.

Housing Opportunities for People with AIDS

1. Provide a Brief description of the organization, the area of service, the name of the program contacts, and a broad overview of the range/ type of housing activities to be done during the next year.
2. Report on the actions taken during the year that addressed the special needs of persons who are not homeless but require supportive housing, and assistance for persons who are homeless.
3. Evaluate the progress in meeting its specific objective of providing affordable housing, including a comparison of actual outputs and outcomes to proposed goals and progress made on the other planned actions indicated in the strategic and action plans. The evaluation can address any related program adjustments or future plans.
4. Report on the accomplishments under the annual HOPWA output goals for the number of households assisted during the year in: (1) short-term rent, mortgage and utility payments to avoid homelessness; (2) rental assistance programs; and (3) in housing facilities, such as community residences and SRO dwellings, where funds are used to

- develop and/or operate these facilities. Include any assessment of client outcomes for achieving housing stability, reduced risks of homelessness and improved access to care.
5. Report on the use of committed leveraging from other public and private resources that helped to address needs identified in the plan.
 6. Provide an analysis of the extent to which HOPWA funds were distributed among different categories of housing needs consistent with the geographic distribution plans identified in its approved Consolidated Plan.
 7. Describe any barriers (including non-regulatory) encountered, actions in response to barriers, and recommendations for program improvement.
 8. Please describe the expected trends facing the community in meeting the needs of persons living with HIV/AIDS and provide additional information regarding the administration of services to people with HIV/AIDS.
 9. Please note any evaluations, studies or other assessments that will be conducted on the local HOPWA program during the next year.

Program Year 4 Action Plan HOPWA response:

n/a

Specific HOPWA Objectives

Describe how Federal, State, and local public and private sector resources that are reasonably expected to be available will be used to address identified needs for the period covered by the Action Plan.

Program Year 4 Specific HOPWA Objectives response:

n/a

Other Narrative

Include any Action Plan information that was not covered by a narrative in any other section.

Fair Housing

Program Year 4 Fair Housing response:

The City of Middletown is committed to providing a housing environment that is free of any discrimination whether real or apparent. As stated in the City's AI, a portion of the PY 2013 CDBG budget is being utilized to fund Legal Aid Society of Southwest Ohio, LLC and Housing Opportunities Made Equal (H.O.M.E.). Among other things, Legal Aid provides legal advice and counseling to those individuals who feel they have been discriminated against and provides legal representation to those individuals who otherwise may not be able to afford it. H.O.M.E. provides investigative services into landlords who have been reported by individuals who feel they were discriminated against. If a case is validated, H.O.M.E. will inform the landlord of their violation and laws that prevent such activity. Where possible, H.O.M.E. will work with the individual and the landlord to mediate a resolution. In rare cases where a resolution cannot be determined, H.O.M.E. will assist the individual by referring them to the appropriate places (such as Legal Aid) in pursuing the case legally if they so desire. H.O.M.E. also distributes educational materials regarding fair housing laws and requirements to realtors, lenders, and landlords.

The City of Middletown will continue to fund both of these organizations in 2013 and will continue to look for other resources available to promote fair housing.

As stated in the City's Fair Housing Action Plan, the following has been undertaken the past 3 years and will continue this program year:

- The City's ongoing goal is to partner with Butler County and non-profit organizations such as Housing Opportunities Made Equal, Inc. (H.O.M.E.), and Legal Aid Society of Greater Cincinnati, LLC. to provide enforcement, outreach, education, and testing of fair housing laws and complaints.*
- Copies of the AI and the City's Fair Housing Plan are available on the City of Middletown website and hard copies are available for viewing at the Community Revitalization Department.*
- The City of Middletown has and will continue to partner with local fair housing agencies including Housing Opportunities Made Equal (H.O.M.E.) and Legal Aid to respond to and investigate fair housing complaints. H.O.M.E. will conduct testing annually to identify patterns and practices within the rental and sales market such as neighborhood steering or other forms of housing choice denials that could be potential violations of fair housing laws.*
- All sub-recipient agreements/contracts contain certifications by the sub-recipient that they are compliant with all federal, state, and local laws relating to fair housing and equal opportunity*
- The City has and will continue to utilize the non-profit Neighborhood Housing Services of Hamilton, Inc., to provide outreach and homebuyer education classes.*
- The City has and will continue to utilize Housing Opportunities Made Equal (H.O.M.E.) to test the rental market for discriminatory and fair housing violations. Complaints are processed by H.O.M.E.*
- The City's HOME funded down payment assistance program ensures that beneficiaries receive a fixed interest rate at favorable market rates and closing costs.*

PROGRAM YEAR 2013
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Section Three - Non-State Grantee Certifications



CPMP Non-State Grantee Certifications

Many elements of this document may be completed electronically, however a signature must be manually applied and the document must be submitted in paper form to the Field Office.

- ☐ This certification does not apply.
☒ This certification is applicable.

NON-STATE GOVERNMENT CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

Drug Free Workplace -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about --
 - a. The dangers of drug abuse in the workplace;
 - b. The grantee's policy of maintaining a drug-free workplace;
 - c. Any available drug counseling, rehabilitation, and employee assistance programs; and
 - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will --
 - a. Abide by the terms of the statement; and
 - b. Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;
6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted --
 - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
 - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:


8. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
9. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
10. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction -- The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

Consistency with plan -- The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.


Signature/Authorized Official


Date

Judith A. Gilleland

Name

City Manager

Title

1 Donham Plaza

Address

Middletown/OH/45042

City/State/Zip

513-425-7836

Telephone Number

- | |
|---|
| <input type="checkbox"/> This certification does not apply. |
| <input checked="" type="checkbox"/> This certification is applicable. |

Specific CDBG Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

Following a Plan -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

11. Maximum Feasible Priority - With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
12. Overall Benefit - The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) 2011, 2012, 2013, (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
13. Special Assessments - It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds.

The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

14. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
15. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

Compliance With Anti-discrimination laws -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of part 35, subparts A, B, J, K and R, of title 24;

Compliance with Laws -- It will comply with applicable laws.


Signature/Authorized Official


Date

Judith A. Gilleland

Name

City Manager

Title

1 Donham Plaza

Address

Middletown/OH/45042

City/State/Zip

513-425-7836

Telephone Number

- ☒ This certification does not apply.
☐ This certification is applicable.

**OPTIONAL CERTIFICATION
CDBG**

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having a particular urgency as specified in 24 CFR 570.208(c):

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBG-assisted activities, which are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☐ This certification does not apply.
☒ This certification is applicable.

Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If the participating jurisdiction intends to provide tenant-based rental assistance:


The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

Eligible Activities and Costs -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

Appropriate Financial Assistance -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;



Signature/Authorized Official



Date

Judith A. Gilleland

Name

City Manager

Title

1 Donham Plaza

Address

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City/State/Zip

513-425-7836

Telephone Number

- ☒ This certification does not apply.
☐ This certification is applicable.

HOPWA Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the plan:

1. For at least 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
2. For at least 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☒ **This certification does not apply.**
☐ **This certification is applicable.**

ESG Certifications

I, _____, Chief Executive Officer of Jurisdiction, certify that the local government will ensure the provision of the matching supplemental funds required by the regulation at 24 *CFR* 576.51. I have attached to this certification a description of the sources and amounts of such supplemental funds.

I further certify that the local government will comply with:

1. The requirements of 24 *CFR* 576.53 concerning the continued use of buildings for which Emergency Shelter Grants are used for rehabilitation or conversion of buildings for use as emergency shelters for the homeless; or when funds are used solely for operating costs or essential services.
2. The building standards requirement of 24 *CFR* 576.55.
3. The requirements of 24 *CFR* 576.56, concerning assurances on services and other assistance to the homeless.
4. The requirements of 24 *CFR* 576.57, other appropriate provisions of 24 *CFR* Part 576, and other applicable federal laws concerning nondiscrimination and equal opportunity.
5. The requirements of 24 *CFR* 576.59(b) concerning the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970.
6. The requirement of 24 *CFR* 576.59 concerning minimizing the displacement of persons as a result of a project assisted with these funds.
7. The requirements of 24 *CFR* Part 24 concerning the Drug Free Workplace Act of 1988.
8. The requirements of 24 *CFR* 576.56(a) and 576.65(b) that grantees develop and implement procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted with ESG funds and that the address or location of any family violence shelter project will not be made public, except with written authorization of the person or persons responsible for the operation of such shelter.
9. The requirement that recipients involve themselves, to the maximum extent practicable and where appropriate, homeless individuals and families in policymaking, renovating, maintaining, and operating facilities assisted under the ESG program, and in providing services for occupants of these facilities as provided by 24 *CFR* 76.56.
10. The requirements of 24 *CFR* 576.57(e) dealing with the provisions of, and regulations and procedures applicable with respect to the environmental review responsibilities under the National Environmental Policy Act of 1969 and related

authorities as specified in 24 *CFR* Part 58.

11. The requirements of 24 *CFR* 576.21(a)(4) providing that the funding of homeless prevention activities for families that have received eviction notices or notices of termination of utility services will meet the requirements that: (A) the inability of the family to make the required payments must be the result of a sudden reduction in income; (B) the assistance must be necessary to avoid eviction of the family or termination of the services to the family; (C) there must be a reasonable prospect that the family will be able to resume payments within a reasonable period of time; and (D) the assistance must not supplant funding for preexisting homeless prevention activities from any other source.
12. The new requirement of the McKinney-Vento Act (42 *USC* 11362) to develop and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent such discharge from immediately resulting in homelessness for such persons. I further understand that state and local governments are primarily responsible for the care of these individuals, and that ESG funds are not to be used to assist such persons in place of state and local resources.
13. HUD's standards for participation in a local Homeless Management Information System (HMIS) and the collection and reporting of client-level information.

I further certify that the submission of a completed and approved Consolidated Plan with its certifications, which act as the application for an Emergency Shelter Grant, is authorized under state and/or local law, and that the local government possesses legal authority to carry out grant activities in accordance with the applicable laws and regulations of the U. S. Department of Housing and Urban Development.

Signature/Authorized Official

Date

Name

Title

Address

City/State/Zip

Telephone Number

- ☐ This certification does not apply.
☒ This certification is applicable.

APPENDIX TO CERTIFICATIONS

Instructions Concerning Lobbying and Drug-Free Workplace Requirements

Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant: Place of Performance (Street address, city, county, state, zip code) Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 21.

Place Name	Street	City	County	State	Zip
City of Middletown	1 Donham Plaza	Middletown	Butler	OH	45042

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules: "Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15); "Conviction" means a finding of guilt (including a plea of *nolo contendere*) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes; "Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any

Jurisdiction


controlled substance; "Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including:

- a. All "direct charge" employees;
- b. all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and
- c. temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of subrecipients or subcontractors in covered workplaces).

Note that by signing these certifications, certain documents must be completed, in use, and on file for verification. These documents include:

1. Analysis of Impediments to Fair Housing
2. Citizen Participation Plan
3. Anti-displacement and Relocation Plan


Signature/Authorized Official


Date

Judith A. Gilleland

Name

City Manager

Title

1 Donham Plaza

Address

Middletown/OH/45042

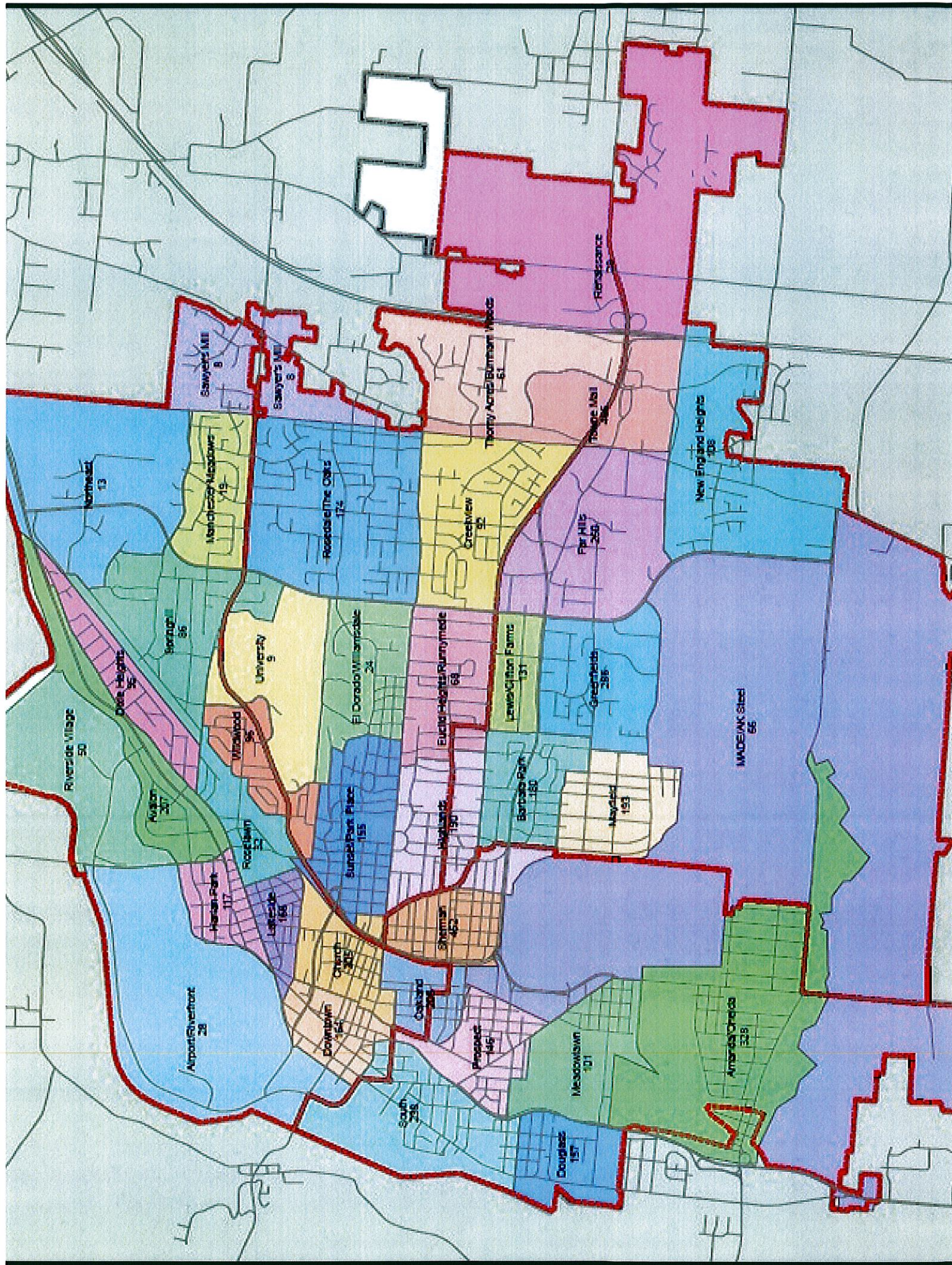
City/State/Zip

513-425-7836

Telephone Number

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Section Four - Maps



PROGRAM YEAR 2013
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Section Five - Budget

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) PROGRAM
PY 2013 PROJECTED BUDGETED USE OF FUNDS (5/1/2013 - 4/30/2014)**

	<u>CDBG</u>
<u>Housing Revitalization</u>	
Emergency Repair Program (PWC)	\$50,000
Volunteer Improvement Program (V.I.P.)	\$54,000
Rehab Program Delivery	\$15,000 a
Category Total	\$119,000
<u>Public Services</u>	
Fair Housing: H.O.M.E. (Matrix Code 21D)	\$5,000
Legal Aid of Greater Cincinnati	\$10,000
Category Total	\$15,000
<u>Public Facilities & Improvements</u>	
Street Paving	\$420,000 b
Fire Engine	\$400,000 c
Category Total	\$820,000
<u>Neighborhood Revitalization</u>	
Code Enforcement	\$300,471
Category Total	\$300,471
<u>GRANTS MANAGEMENT</u>	
Planning/Program Administration	\$151,117 d
Category Total	\$151,117
<hr/>	
Estimated PY2012 Unliquidated Funds	\$300,000
Estimated PY2013 Grant amount	\$655,588
Amount Converted from Revolving Loan Fund	\$450,000
TOTAL	\$1,405,588

a.) Includes legal fees & Rehab Program Delivery b.) \$50k from converted RLF c.) Converted RLF funds d.) Admin allocation (\$126,117) + estimated \$25,000 in PY2012 Unliquidated Admin

PROGRAM YEAR 2013
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Section Six - Project Breakdown

Grantee Name: **City of Middletown**

Project Name: Emergency/Minor Home Repair Program (PWC)																																																										
Description:	IDIS Project #: UOG Code: OH393222 Middletown																																																									
Funds will be used to provide emergency/minor repairs for low to very low income homeowners on a citywide basis.																																																										
Location: Citywide	Priority Need Category Select one: Owner Occupied Housing ▼																																																									
Expected Completion Date: 4/30/2013	Explanation: Grants to address emergency repairs, energy conservation, and handicapped accessibility repairs.																																																									
Objective Category <input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity	Specific Objectives 1 Improve the quality of owner housing ▼ 2 ▼ 3 ▼																																																									
Outcome Categories <input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability																																																										
Project-level Accomplishments	<table border="1"> <tr> <td>04 Households ▼</td> <td>Proposed</td> <td>40</td> <td rowspan="3"></td> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> </tr> <tr> <td></td> <td>Underway</td> <td></td> <td></td> <td>Underway</td> <td></td> </tr> <tr> <td></td> <td>Complete</td> <td>163</td> <td></td> <td>Complete</td> <td></td> </tr> <tr> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> <td rowspan="3"></td> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> </tr> <tr> <td></td> <td>Underway</td> <td></td> <td></td> <td>Underway</td> <td></td> </tr> <tr> <td></td> <td>Complete</td> <td></td> <td></td> <td>Complete</td> <td></td> </tr> <tr> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> <td rowspan="3"></td> <td>Accompl. Type: ▼</td> <td>Proposed</td> <td></td> </tr> <tr> <td></td> <td>Underway</td> <td></td> <td></td> <td>Underway</td> <td></td> </tr> <tr> <td></td> <td>Complete</td> <td></td> <td></td> <td>Complete</td> <td></td> </tr> </table>	04 Households ▼	Proposed	40		Accompl. Type: ▼	Proposed			Underway			Underway			Complete	163		Complete		Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed			Underway			Underway			Complete			Complete		Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed			Underway			Underway			Complete			Complete	
04 Households ▼	Proposed	40		Accompl. Type: ▼		Proposed																																																				
	Underway					Underway																																																				
	Complete	163			Complete																																																					
Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed																																																					
	Underway				Underway																																																					
	Complete				Complete																																																					
Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed																																																					
	Underway				Underway																																																					
	Complete				Complete																																																					
Proposed Outcome	Performance Measure																																																									
Reduce # of households living in unsafe conditions	Number of households served																																																									
	61 households served as of 12/31/2012																																																									
14A Rehab; Single-Unit Residential 570.202 ▼	Matrix Codes ▼																																																									
Matrix Codes ▼	Matrix Codes ▼																																																									
Matrix Codes ▼	Matrix Codes ▼																																																									
Program Year 1	<table border="1"> <tr> <td>CDBG ▼</td> <td>Proposed Amt.</td> <td>50000</td> <td rowspan="6"></td> <td>Fund Source: ▼</td> <td>Proposed Amt.</td> <td></td> </tr> <tr> <td></td> <td>Actual Amount</td> <td>49995.29</td> <td></td> <td>Actual Amount</td> <td></td> </tr> <tr> <td>Fund Source: ▼</td> <td>Proposed Amt.</td> <td></td> <td></td> <td>Proposed Amt.</td> <td></td> </tr> <tr> <td></td> <td>Actual Amount</td> <td></td> <td></td> <td>Actual Amount</td> <td></td> </tr> <tr> <td>04 Households ▼</td> <td>Proposed Units</td> <td>20</td> <td></td> <td>Accompl. Type: ▼</td> <td>Proposed Units</td> <td></td> </tr> <tr> <td></td> <td>Actual Units</td> <td>45</td> <td></td> <td>Actual Units</td> <td></td> </tr> <tr> <td>Accompl. Type: ▼</td> <td>Proposed Units</td> <td></td> <td></td> <td>Accompl. Type: ▼</td> <td>Proposed Units</td> <td></td> </tr> <tr> <td></td> <td>Actual Units</td> <td></td> <td></td> <td>Actual Units</td> <td></td> <td></td> </tr> </table>	CDBG ▼	Proposed Amt.	50000		Fund Source: ▼	Proposed Amt.			Actual Amount	49995.29		Actual Amount		Fund Source: ▼	Proposed Amt.			Proposed Amt.			Actual Amount			Actual Amount		04 Households ▼	Proposed Units	20		Accompl. Type: ▼	Proposed Units			Actual Units	45		Actual Units		Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units			Actual Units			Actual Units							
CDBG ▼	Proposed Amt.	50000		Fund Source: ▼		Proposed Amt.																																																				
	Actual Amount	49995.29				Actual Amount																																																				
Fund Source: ▼	Proposed Amt.					Proposed Amt.																																																				
	Actual Amount					Actual Amount																																																				
04 Households ▼	Proposed Units	20				Accompl. Type: ▼	Proposed Units																																																			
	Actual Units	45			Actual Units																																																					
Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units																																																					
	Actual Units			Actual Units																																																						

Program Year 2	CDBG	▼	Proposed Amt.	50000		Fund Source:	▼	Proposed Amt.	
			Actual Amount	50000				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	04 Households	▼	Proposed Units	40		Accompl. Type:	▼	Proposed Units	
			Actual Units	57				Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	CDBG	▼	Proposed Amt.	50000		Fund Source:	▼	Proposed Amt.	
			Actual Amount	20720 (to date)				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	04 Households	▼	Proposed Units	40		Accompl. Type:	▼	Proposed Units	
			Actual Units	61 (as of 12/3)				Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	CDBG	▼	Proposed Amt.	50000		Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	04 Households	▼	Proposed Units	50		Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	

Project Name:		Volunteer Improvement Program (V.I.P.)					
Description:		IDIS Project #:		UOG Code:		OH393222 Middletown	
Funds will be used for minor exterior housing rehab. Volunteer labor will be utilized wherever possible.							
Location:		Priority Need Category					
Douglass, Harlan Park, Highlands, & El Dorado Neighborhoods		Select one:		Owner Occupied Housing ▼			
Expected Completion Date:		Explanation:					
4/30/2013		Funds will be used for exterior housing rehabilitation. Volunteer labor will be utilized wherever possible.					
Objective Category		Specific Objectives					
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		1 Improve the quality of owner housing ▼ 2 ▼ 3 ▼					
Outcome Categories							
<input type="checkbox"/> Availability/Accessibility							
<input type="checkbox"/> Affordability							
<input checked="" type="checkbox"/> Sustainability							
Project-level Accomplishments	04 Households ▼	Proposed	2		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete	55			Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure		Actual Outcome			
Improve the housing stock		Number of households served		55 households as of the end of program year 3			
14A Rehab; Single-Unit Residential 570.202 ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	CDBG ▼	Proposed Amt.	20000		Fund Source: ▼	Proposed Amt.	
		Actual Amount	370			Actual Amount	
	Other ▼	Proposed Amt.	25000		Fund Source: ▼	Proposed Amt.	
		Actual Amount	25000			Actual Amount	
	04 Households ▼	Proposed Units	15		Accompl. Type: ▼	Proposed Units	
		Actual Units	50			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	CDBG ▼	Proposed Amt.	82000		Fund Source: ▼	Proposed Amt.	
		Actual Amount	27223			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	04 Households ▼	Proposed Units	20		Accompl. Type: ▼	Proposed Units	
		Actual Units	4			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 3	CDBG ▼	Proposed Amt.	40000		Fund Source: ▼	Proposed Amt.	
		Actual Amount	24972			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	04 Households ▼	Proposed Units	2		Accompl. Type: ▼	Proposed Units	
		Actual Units	1			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 4	CDBG ▼	Proposed Amt.	54000		Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	04 Households ▼	Proposed Units	2		Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
Program Year 5	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Grantee Name: **City of Middletown**

Project Name:		Fair Housing (H.O.M.E.)						
Description:		IDIS Project #:		UOG Code:		OH393222 Middletown		
Contract with Housing Opportunities Made Equal (H.O.M.E.) to administer fair housing testing, education, and investigations within the City.								
Location:		Priority Need Category						
Citywide		Select one:		Public Services ▼				
Expected Completion Date:		Explanation:						
4/30/2013		Fair housing counseling, testing, and training.						
Objective Category		Specific Objectives						
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		1 Improve access to affordable rental housing ▼ 2 Improve access to affordable owner housing ▼ 3 ▼						
Outcome Categories								
<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability								
Project-level Accomplishments	04 Households ▼	Proposed	15		Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete	65			Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed		
		Underway				Underway		
		Complete				Complete		
	Proposed Outcome		Performance Measure		Actual Outcome			
	Educate appropriate parties on Fair Hsng Laws		# of households assisted		65 as of end of 2012			
	21D Fair Housing Activities (subject to 20% Admin cap) 570.20(▼		Matrix Codes ▼					
Matrix Codes ▼		Matrix Codes ▼						
Matrix Codes ▼		Matrix Codes ▼						
Program Year 1	CDBG ▼	Proposed Amt.	4000		Fund Source: ▼	Proposed Amt.		
		Actual Amount	4000			Actual Amount		
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.		
		Actual Amount				Actual Amount		
	04 Households ▼	Proposed Units	15		Accompl. Type: ▼	Proposed Units		
		Actual Units	29			Actual Units		
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units		
		Actual Units				Actual Units		

Program Year 2	CDBG	▼	Proposed Amt.	5000		Fund Source:	▼	Proposed Amt.	
			Actual Amount	2546 (to date)				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	04 Households	▼	Proposed Units	25		Accompl. Type:	▼	Proposed Units	
			Actual Units	25				Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	CDBG	▼	Proposed Amt.	5000		Fund Source:	▼	Proposed Amt.	
			Actual Amount	3935				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	04 Households	▼	Proposed Units	25		Accompl. Type:	▼	Proposed Units	
			Actual Units	11				Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	CDBG	▼	Proposed Amt.	5000		Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units	25		Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	

Grantee Name: **City of Middletown**

Project Name:		Legal Aid of Greater Cincinnati, LLC.					
Description:		IDIS Project #:		UOG Code:		OH393222 Middletown	
Legal Aid attorneys will use negotiation and civil legal proceedings to help tenants of deteriorated rental property find resolution by making the owners comply with health and housing codes. Legal aid will also provide foreclosure counseling and investigate fair housing violations.							
Location:		Priority Need Category					
Citywide		Select one:		Public Services ▼			
Expected Completion Date:		Explanation:					
4/30/2013		Legal Aid will remediate tenant/landlord disputes as well as provide foreclosure prevention counseling and investigation of fair housing violations.					
Objective Category		Specific Objectives					
<input checked="" type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		1 Improve the services for low/mod income persons ▼ 2 Improve the quality of affordable rental housing ▼ 3 Improve access to affordable rental housing ▼					
Outcome Categories							
<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability							
Project-level Accomplishments	01 People ▼	Proposed	25		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
Improved rental housing		# of people served			52 people as of the end of program year 3		
05C Legal Services 570.201(E) ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	CDBG ▼	Proposed Amt.	10000		Fund Source: ▼	Proposed Amt.	
		Actual Amount	10000			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	01 People ▼	Proposed Units	25		Accompl. Type: ▼	Proposed Units	
		Actual Units	15			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	CDBG	▼	Proposed Amt.	10000		Fund Source:	▼	Proposed Amt.	
			Actual Amount	10000				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	01 People	▼	Proposed Units	15		Accompl. Type:	▼	Proposed Units	
			Actual Units	22				Actual Units	
Program Year 3			Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	CDBG	▼	Proposed Amt.	10000		Fund Source:	▼	Proposed Amt.	
			Actual Amount	9406				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
Program Year 4	01 People	▼	Proposed Units	15		Accompl. Type:	▼	Proposed Units	
			Actual Units	15				Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Fund Source:	▼	Proposed Amt.	10000		Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
Program Year 5	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	01 People	▼	Proposed Units	15		Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	

Project Name: Street Paving						
Description: Public improvements	IDIS Project #: UOG Code: OH393222 Middletown					
Location: TBA						
Priority Need Category						
Select one:	Infrastructure ▼					
Explanation:						
Repaving of city streets and public improvements						
Expected Completion Date: 4/30/2013						
Objective Category						
<input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity						
Specific Objectives						
Outcome Categories	1 Improve quality / increase quantity of public improvements for lower income persons ▼					
<input type="checkbox"/> Availability/Accessibility	2 ▼					
<input type="checkbox"/> Affordability	3 ▼					
<input checked="" type="checkbox"/> Sustainability						
Project-level Accomplishments	Other ▼	Proposed 300		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete 11385			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
Proposed Outcome		Performance Measure		Actual Outcome		
Linear feet of paved streets		Linear feet paved		As of PY2012, approx 11385 linear feet of 2 lane roads paved		
03K Street Improvements 570.201(c) ▼		Matrix Codes ▼				
Matrix Codes ▼		Matrix Codes ▼				
Matrix Codes ▼		Matrix Codes ▼				
Program Year 1	CDBG ▼	Proposed Amt. 200000		Fund Source: ▼	Proposed Amt.	
		Actual Amount 0			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Other ▼	Proposed Units 1000		Accompl. Type: ▼	Proposed Units	
		Actual Units 0			Actual Units	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	

Program Year 2	CDBG	▼	Proposed Amt.	200000		Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Other	▼	Proposed Units	1000		Accompl. Type:	▼	Proposed Units	
			Actual Units	605				Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	CDBG	▼	Proposed Amt.	100000		Fund Source:	▼	Proposed Amt.	
			Actual Amount	100000				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Other	▼	Proposed Units	300		Accompl. Type:	▼	Proposed Units	
			Actual Units	10780				Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	CDBG	▼	Proposed Amt.	420000		Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Other	▼	Proposed Units	10000		Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	

Project Name: Fire Engine						
Description:	IDIS Project #: UOG Code: OH393222 Middletown					
Purchase of a new fire engine.						
Location:	Priority Need Category					
TBA	Select one: Public Facilities ▼					
Explanation:						
Expected Completion Date:	Funds will be used for the purchase of a new fire engine.					
4/30/2013						
Objective Category						
<input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity						
Outcome Categories	Specific Objectives					
<input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability	1 Improve quality / increase quantity of public improvements for lower income persons ▼ 2 ▼ 3 ▼					
Project-level Accomplishments	Other ▼	Proposed	1	Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type: ▼	Proposed		Accompl. Type: ▼	Proposed	
		Underway			Underway	
		Complete			Complete	
Proposed Outcome		Performance Measure		Actual Outcome		
Purchase of a new fire engine		Number of fire engine(s) purchased				
03 Public Facilities and Improvements (General) 570.201(c) ▼		Matrix Codes ▼				
Matrix Codes ▼		Matrix Codes ▼				
Matrix Codes ▼		Matrix Codes ▼				
Program Year 1	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	

Program Year 2	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Program Year 3	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Program Year 4	CDBG ▼	Proposed Amt.	400000	Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Other ▼	Proposed Units	1	Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
Program Year 5	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source: ▼	Proposed Amt.		Fund Source: ▼	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type: ▼	Proposed Units		Accompl. Type: ▼	Proposed Units	
		Actual Units			Actual Units	

Grantee Name: **City of Middletown**

Project Name:		Code Enforcement					
Description:		IDIS Project #:		UOG Code:		OH393222 Middletown	
Provide code enforcement services							
Location:		Priority Need Category					
Citywide		Select one:		Other ▼			
Expected Completion Date:		Explanation:					
4/30/2013		Provide code enforcement services to ensure all property is kept to local code requirements.					
Objective Category		Specific Objectives					
<input type="radio"/> Decent Housing <input checked="" type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		1 Improve the quality of owner housing ▼ 2 Improve the quality of affordable rental housing ▼ 3 Improve the services for low/mod income persons ▼					
Outcome Categories							
<input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability							
Project-level Accomplishments	Other ▼	Proposed	2500		Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete	20094			Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
Number of units brought into compliance		Number of inspections completed			20094 inspections completed as of 12/31/12		
15 Code Enforcement 570.202(c) ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	CDBG ▼	Proposed Amt.	221000		Fund Source: ▼	Proposed Amt.	
		Actual Amount	184372			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Other ▼	Proposed Units	2000		Accompl. Type: ▼	Proposed Units	
		Actual Units	4846			Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	CDBG	▼	Proposed Amt.	344606	Fund Source:	▼	Proposed Amt.	
			Actual Amount	262499			Actual Amount	
	Fund Source:	▼	Proposed Amt.		Fund Source:	▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	Other	▼	Proposed Units	2500	Accompl. Type:	▼	Proposed Units	
			Actual Units	6840			Actual Units	
	Accompl. Type:	▼	Proposed Units		Accompl. Type:	▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 3	CDBG	▼	Proposed Amt.	326565	Fund Source:	▼	Proposed Amt.	
			Actual Amount	296424			Actual Amount	
	Fund Source:	▼	Proposed Amt.		Fund Source:	▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	Other	▼	Proposed Units	2500	Accompl. Type:	▼	Proposed Units	
			Actual Units	8408			Actual Units	
	Accompl. Type:	▼	Proposed Units		Accompl. Type:	▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 4	Fund Source:	▼	Proposed Amt.		Fund Source:	▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	Fund Source:	▼	Proposed Amt.		Fund Source:	▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	Accompl. Type:	▼	Proposed Units		Accompl. Type:	▼	Proposed Units	
			Actual Units				Actual Units	
	Accompl. Type:	▼	Proposed Units		Accompl. Type:	▼	Proposed Units	
			Actual Units				Actual Units	
Program Year 5	Fund Source:	▼	Proposed Amt.		Fund Source:	▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	Fund Source:	▼	Proposed Amt.		Fund Source:	▼	Proposed Amt.	
			Actual Amount				Actual Amount	
	Accompl. Type:	▼	Proposed Units		Accompl. Type:	▼	Proposed Units	
			Actual Units				Actual Units	
	Accompl. Type:	▼	Proposed Units		Accompl. Type:	▼	Proposed Units	
			Actual Units				Actual Units	

Grantee Name: **City of Middletown**

Project Name:		Planning and Administration					
Description:		IDIS Project #:		UOG Code:		OH393222 Middletown	
General Planning and Administration expenses							
Location:		Priority Need Category					
1 Donham Plaza, Middletown, OH 45042		Select one:		Planning/Administration ▼			
Expected Completion Date:		Explanation:					
(mm/dd/yyyy)		Planning and Administration expenses					
Objective Category		Specific Objectives					
<input type="radio"/> Decent Housing <input type="radio"/> Suitable Living Environment <input type="radio"/> Economic Opportunity		1 <input type="text"/> 2 <input type="text"/> 3 <input type="text"/>					
Outcome Categories							
<input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input type="checkbox"/> Sustainability							
Project-level Accomplishments	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
	Accompl. Type: ▼	Proposed			Accompl. Type: ▼	Proposed	
		Underway				Underway	
		Complete				Complete	
Proposed Outcome		Performance Measure			Actual Outcome		
21A General Program Administration 570.206 ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Matrix Codes ▼				Matrix Codes ▼			
Program Year 1	CDBG ▼	Proposed Amt.	146871.4		Fund Source: ▼	Proposed Amt.	
		Actual Amount	93087			Actual Amount	
	Fund Source: ▼	Proposed Amt.			Fund Source: ▼	Proposed Amt.	
		Actual Amount				Actual Amount	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	
	Accompl. Type: ▼	Proposed Units			Accompl. Type: ▼	Proposed Units	
		Actual Units				Actual Units	

Program Year 2	CDBG	▼	Proposed Amt.	146400		Fund Source:	▼	Proposed Amt.	
			Actual Amount	95528				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 3	CDBG	▼	Proposed Amt.	175316		Fund Source:	▼	Proposed Amt.	
			Actual Amount	114804				Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 4	CDBG	▼	Proposed Amt.	151117		Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
Program Year 5	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Fund Source:	▼	Proposed Amt.			Fund Source:	▼	Proposed Amt.	
			Actual Amount					Actual Amount	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	
	Accompl. Type:	▼	Proposed Units			Accompl. Type:	▼	Proposed Units	
			Actual Units					Actual Units	

PROGRAM YEAR 2013
HUD ANNUAL ACTION PLAN
B-13-MC-39-0013

Section Seven - Citizen Participation Process

The Middletown Journal

AFFIDAVIT OF PUBLICATION

P.O. Box 643157

Cincinnati, OH 45264-3157

937-225-7367

CITY OF MIDDLETOWN

ONE DONHAM PLZ

MIDDLETOWN, OH 45042

STATE OF OHIO

**Legal Ad: 13-7475 NOTICE OF PUBLIC
HEARING AND PUBLIC COMMENT
PERIOD FOR THE 2013 ANNUAL ACTION
PLAN REGARDING HUD FUNDING**

The City of Middletown, as directed by the U.S. Department of Housing and Urban Development (HUD), is submitting its Program Year 2013 Annual Action Plan as part of the 5 year Consolidated Plan outlining the City's priorities for the Community Development Block Grant (CDBG) program. The objective of this funding is to meet community housing and development needs. The CDBG allocation received for the 2012 program year was \$655,588. This Annual Action Plan states the specific activities to be undertaken in the fourth Consolidated Plan program year which runs from May 1, 2013 to April 30, 2014. The City is also completing a substantial amendment to the 5 year Consolidated Plan regarding the use and conversion of a portion of the revolving loan fund.

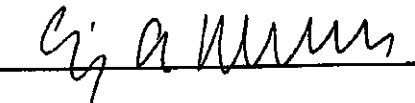
There will be a Public Hearing on these plans held Tuesday, February 19, 2013 at or around 6:00pm in the City Council Chambers on the lower level of the City Building, 1 Donham Plaza, Middletown, Ohio. There will also be a 30 day public comment period commencing February 8, 2013 and ending March 10, 2013 for citizens to review and submit any comments regarding the plan and substantial amendment. Copies of the plan will be available for view online at: <http://www.cityofmiddletown.org/community/comdev.html>, or at the Middletown Library, Senior Center, and the City Building in the Community Revitalization Department. Comments must be submitted in writing and may be completed online at <http://www.cityofmiddletown.org/community/comdev.html> or mailed or dropped off to:

City of Middletown
Community Revitalization Department
Attn: CDBG Public Comments
One Donham Plaza
Middletown, OH 45042

16071417 2-4, 6/13

Before me, the undersigned, a Notary public in and for said County, personally came Emily Minch who being first duly sworn says he/she is the Legal Advertising Agent of the The Middletown Journal, which he/she says is a newspaper of general circulation in Montgomery, Clark, Warren, Butler, Clinton, Greene, Preble, Miami, Darke, Mercer, Shelby, Fayette, Logan, Hamilton, Clermont, Auglaize, and Champaign Counties, and State of Ohio, and he/she further says that the Legal Advertisement, a copy of which is hereunto attached, has been published in the said The Middletown Journal 44 Lines, 2 Time(s), last day of publication being 2/6/2013, and he/she further says that the bona fide daily paid circulation of the said The Middletown Journal was over (10000) at the time the said advertisement was published, and that the price charged for same does not exceed the rates charged on annual contract for the like amount of space to other advertisers in the general display advertising columns.

Signed



Sworn or affirmed to, and subscribed before me, this 2/6/2013. In Testimony Whereof, I have hereunto set my hand and affixed my official seal, the day and year aforesaid.

Notary Public

LORNA M. FOER, Notary Public
In and for the State of Ohio
My Commission Expires July 4, 2015

Invoice No.	16071417
Ad Cost	\$250.80
Paid	\$0.00
Due	\$250.80

Cincinnati-based Fifth Third said Wednesday it signed a multiyear contract with NextJob, a Bend, Ore.-based re-employment company, to provide job search services for struggling Fifth Third mortgage customers.

Unemployed mortgage borrowers get help with a job search, including coaching, weekly webinars and access to online job search software, paid for by Fifth Third.

Fifth Third said it's the first financial institution to do something like this. Fifth Third is the largest bank in the Cincinnati-Dayton region, and one of the largest mortgage lenders.

The program was tested in 2012 with unemployed bank customers in serious risk of default on their mortgages, the bank said. On average, participants had been out of work for 22 months. Nearly 40 percent of people in the pilot were fully employed after six months, a success rate that's prompted Fifth Third to expand the program.

"Up to half of mortgage delinquencies are due to job loss," said Steven Alonso, head of Fifth Third Bancorp Consumer Bank, in a statement.

NextJob CEO John Courtney approached the bank with the idea, said Larry Magnesen, Fifth Third chief marketing officer.

"A foreclosure is the last thing banks want to do," Magnesen said.

Right now the program is by invitation only and meant for mortgage customers who start falling behind in payments because of job loss, Magnesen said.

get inspiration from NextJob's experts. Participants

talk about progress with their job hunts.



Take advantage of the benefits of an independent insurance agent! Call today for a free quote on your homeowners and auto insurance!

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City of Middletown, Ohio Public Hearing and Public Comment Period Regarding HUD Funding



The City of Middletown, as directed by the U.S. department of Housing and Urban Development (HUD), is submitting its Program Year 2013 Annual Action plan as part of the 5 year Consolidated Plan outlining the City's priorities for the Community Development Block Grant (CDBG) program. The objective of this funding is to meet community housing and development needs. The CDBG allocation received for the 2012 program year was \$655,588. This Annual Action Plan states the specific activities to be undertaken in the fourth Consolidated Plan program year which runs from May 1, 2013 to April 30, 2014. The City is also completing a substantial amendment to the 5 year Consolidated Plan regarding the use and conversion of a portion of the revolving loan fund.

There will be a Public Hearing on these plans held Tuesday, February 19, 2013 at or around 6:00pm in the City Council Chambers on the lower level of the City Building, 1 Donham Plaza, Middletown, Ohio. There will also be a 30 day public comment period commencing February 8, 2013 and ending March 10, 2013 for citizens to review and submit any comments regarding the plan and substantial amendment. Copies of the plan will be available for view online at: <http://www.cityofmiddletown.org/community/comdev.html>, or at the Middletown Library, Senior Center, and the City Building in the Community Revitalization Department. Comments must be submitted in writing and may be completed online at:

<http://www.cityofmiddletown.org/community/comdev.html> or mailed or dropped off to:

City of Middletown
Community Revitalization Department
Attn: CDBG Public Comments
One Donham Plaza
Middletown, OH 45042

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Annual Action Plan Receipt Acknowledgement

Friday February 8, 2013

Organization	Printed Name	Signature	Time
Middletown Library	Sofia Habash	Sofia Habash	3:45
Middletown Senior Center	Thelma Straussbaugh	Thelma Straussbaugh	4:10

PROGRAM YEAR 2013
HUD ANNUAL ACTION PLAN
B-13-MC-39-0013

Section Eight - City of Middletown, Ohio City Council

ORDINANCE NO. O2013-11

AN ORDINANCE AUTHORIZING THE SUBMISSION OF AN APPLICATION FOR FEDERAL ASSISTANCE, A CONSOLIDATED PLAN AND A PROJECTED USE OF FUNDS, UNDER TITLE I OF THE HOUSING AND COMMUNITY DEVELOPMENT ACT OF 1974, AS AMENDED FOR FISCAL YEAR 2013 AND DECLARING AN EMERGENCY.

WHEREAS, Title I of the Housing and Community Development Act of 1974, as amended, provides for a program of community development block grants; and

WHEREAS, the City of Middletown is an entitlement city as defined under said Act, and is entitled to financial assistance; and

WHEREAS, the City of Middletown, pursuant to the Housing and Community Development Act of 1974, as amended, has held two public hearings which were open to the public; and

WHEREAS, said public hearings were for the purpose of considering and obtaining the views of the citizens of Middletown on community development and housing needs; and for the purpose of providing the citizens with an opportunity to participate in the development of the Consolidated Plan and the Projected Use of Funds; and

WHEREAS, the Consolidated Plan and Proposed Use of Funds are in compliance with previously enacted Urban Renewal Plans of the City and has been reviewed by the Middletown Consolidated Planning Committee; and

WHEREAS, said Application for Federal Assistance requires certain certifications to be submitted along with and part of said Application.

NOW THEREFORE, BE IT ORDAINED by the City Council of the City of Middletown, Butler and Warren Counties, Ohio that:

Section 1

The City Manager be and he is hereby authorized and directed to submit an application, including all understandings and certifications contained therein, to the fullest extent of funding allowed and determined by the Department of Housing and Urban Development, under Title I of the Housing and Community Development Act of 1974, as amended, to act as the authorized representative of the City of Middletown, and to provide such additional information as may be required.

Section 2

The City Manager, Director of Law and such other appropriate and responsible officials be and they are hereby authorized and directed to execute on behalf of the City of Middletown such certifications as are necessary for the submission of said application for the 2013 funding year.

Section 3

The City Manager is authorized to enter into the appropriate grant agreement(s) with the United States Department of Housing and Urban Development and agreement with subrecipients of the grant funds, as necessary. All such agreements shall be in a form and substance acceptable to the Director of Law.

Section 4

This ordinance is declared to be an emergency measure necessary for the immediate preservation of the public health, safety and general welfare, to wit: to allow the action plan and amended consolidated plan to be submitted in a timely manner and shall take effect and be in force from and after its adoption.

/s/ Lawrence P. Mulligan, Jr.
Lawrence P. Mulligan, Jr., Mayor

Adopted: March 5, 2013

Attest: /s/ Betsy Parr
Clerk of City Council

MIDDLETOWN CITY COUNCIL AGENDA
TUESDAY, February 19, 2013

I. BUSINESS MEETING – 6:00 p.m. – COUNCIL CHAMBERS – LOWER LEVEL

1. MOMENT OF MEDITATION/PLEDGE OF ALLEGIANCE TO THE FLAG

2. ROLL CALL

3. PUBLIC HEARING-

Program Year 2013 Annual Action Plan and the Substantial Amendment to the Consolidated Plan

4. CITIZEN COMMENTS

5. CITY MANAGER REPORTS

- **Master Plan Update- Greg Dale**
- **Butler County SBDC, Dave Riggs, Director and Mark Lankford, Counselor**

6. CONSENT AGENDA. . . Matters listed under the Consent Agenda are considered to be routine and will be enacted by one motion and one vote of consent. There will be no separate discussion of these items. If discussion is desired, that item will be removed and considered separately.

(a) **Approve City Council Minutes:** February 5, 2013

(b) **Receive and File Board and Commission Minutes:**

Board of Health and Environment- January 8, 2013

Middletown Cemetery Board- January 30, 2013

(c) **Receive and File Oaths of Offices:**

Jordan Lawson

Noah Powers

Britt Howard

(d) **Confirm Personnel Appointments:**

Zach Bernard-Squadperson

Robert King- Squadperson

Brian Lykins- Squadperson

Diane Moore- Executive Account Clerk

Penny Sargent- PT Executive Account Clerk

(e) **Accept and award bid tabulations** for Golf Course Chemicals as listed:

Advanced Turf Solutions – Hilliard, OH	\$ 2,169.30
Green Velvet Sod Farms – Bellbrook, OH	\$ 22,453.00
Harrell's – Lakeland, FL	\$ 18,110.00
Helena Chemical Co. – Columbus, OH	\$ 6,850.00
The Hill Co. – Erlanger, KY	\$ 2,148.00
John Deere Landscapes – Cleveland, OH	\$ 6,523.84
Residex – Cincinnati, OH	\$ 550.00
TruPointe – Lebanon, OH	\$ 37,613.75
Winfield – Cedarville, OH	\$ 4,893.10
Brighter Day – Cincinnati, OH	\$ 238.80

MIDDLETOWN CITY COUNCIL AGENDA
TUESDAY, February 19, 2013

GRAND TOTAL \$101,549.79

- (f) **Approve the Purchase** of a 2013 2WD Chevrolet Tahoe for Police K-9 vehicle from Byers Chevrolet off of the ODAS Cooperative Purchasing Program, in an amount of \$27,645.
- (g) **Receive and File** an Irrevocable Letter of Credit submitted by Sawyers Mill LLC guaranteeing public improvements constructed as part of Sawyers Mill Subdivision.

7. COUNCIL COMMENTS

II. LEGISLATION

1. **Ordinance No. O2013-05**, an ordinance establishing a procedure for and authorizing a contract with Butler County Regional Transit Authority to manage the day to day operations of the Middletown Transit Service. (2nd Reading)
2. **Ordinance No. O2013-06**, an ordinance providing for the issuance and sale of a \$2,056,916 Industrial Development Revenue Note for the purpose of acquiring certain property known as the Bender Tract, providing for the security for such note. (2nd Reading)
3. **Ordinance No. O2013-07**, an ordinance repealing Section 636.10 (Abusing Police, Traffic Control or Corrections Officers) and amending Section 636.05 (Menacing) of the Codified Ordinances. (2nd Reading)
4. **Ordinance No. O2013-08**, an ordinance establishing a procedure for and authorizing a contract with McCluskey Chevrolet for the purchase a 2013 Chevrolet Tahoe and declaring an emergency.
5. **Ordinance No. O2013-09**, an ordinance establishing a procedure for and authorizing a contract with McCluskey Chevrolet for the purchase eight police cruisers and declaring an emergency.
6. **Ordinance No. O2013-10**, an ordinance establishing a procedure for and authorizing purchases of asphalt by the City Purchasing Agent in 2013 and declaring an emergency.
7. **Ordinance No. O2013-11**, an ordinance authorizing the submission of an application for federal assistance, a consolidated plan and a projected use of funds, under Title I of the Housing and Community Development Act of 1974, as amended for fiscal year 2013 and declaring an emergency. (No action requested until March 5, 2013)

MIDDLETOWN CITY COUNCIL AGENDA
TUESDAY, February 19, 2013

8. **Ordinance No. O2013-12**, an ordinance determining to proceed to add decorative Street lighting on South Main Street between First Avenue and Ninth Avenue. (1st Reading)
9. **Ordinance No. O2013-13**, an ordinance establishing a procedure for and authorizing a contract with Middletown Area Senior Citizens, Inc. (1st Reading)
10. **Resolution No. R2013-03**, a resolution to establish the Senior Citizens Levy Fund. (1st Reading)
11. **Ordinance No O2013-14**, an ordinance authorizing a contract with R. A. Miller Construction Company, Inc. for improvements to South Main Street. (1st Reading)
12. **Ordinance No. O2013-15**, an ordinance establishing a procedure for and authorizing a lease of certain real property to Mark Kakaris DBA Mark's Towing. (1st Reading)

III. EXECUTIVE SESSION

Under the authority of O.R.C. 121.22 (G) (1) To consider the appointment of a public official.

It is the policy of the City of Middletown to make all public hearings and meetings accessible to all persons, in accordance with state and/or federal laws. If you have a disability which requires accommodation in order for you to attend and/or participate in this meeting, please contact us at 425-7831 at least forty-eight hours prior to the time of the meeting to advise us of the need for accommodation, and reasonable efforts shall be made to provide the same. This agenda may be accessed on the City of Middletown website at:
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